



REGULATIONS CONCERNING ELECTRONIC GAMING DEVICES

SCHEDULE "B"

BUSINESS ENTITY INFORMATION FORM

All information provided by the applicant to the Commission will be held in the strictest confidence and will not be used by the Commission for any purpose other than matters pertaining to this application. However, information provided by the applicant to the Commission will be made available to the Commission's agents and other third party investigators.

INSTRUCTIONS FOR COMPLETION

1. Type or print in BLOCK LETTERS an answer to every question.
2. If a question does not apply, state "N/A" in response to that question.
3. If there is nothing to disclose in reply to a particular question state "nil" in response to that question.
4. If there is insufficient space on a printed form in which to answer a question, additional information may be provided on an attachment page, a form for which is attached.
5. When required to use an attachment page precede each answer thereon with the title applicable to that question.
6. All dates should be completed in the form: Day/Month/Year.
7. This form is to be completed in the English language.
8. Failure to give complete and accurate answers to all questions in this form may constitute an offence and will be grounds for the Commission to refuse an associated application or to suspend or revoke an associated authorization, permit or licence that has been granted on the basis of incomplete or inaccurate information.
9. The completed form should be forwarded to:

Kahnawake Gaming Commission
P.O. Box 1799
Mohawk Territory of Kahnawake, Canada
J0L 1B0

Fax: +1 450 635 1139
Email: info@gamingcommission.ca
10. A faxed or scanned and emailed copy of this form will be received by the Commission for its information but must be accompanied by original, hard copy documents.

**OATH OR SOLEMN AFFIRMATION BY THE APPLICANT
BEFORE AN AUTHORIZED PERSON**

I, _____ of _____ swear
or solemnly affirm that:

1. I am the _____ of _____.
(relationship of declarant to the applicant) *(name of applicant)*
2. I have personally completed (or I am personally responsible for providing the information contained in) the Business Entity Information Form to which this Declaration is appended.
3. I certify that the particulars contained in the Business Entity Information Form are true and correct in every detail and fully disclose the information required to complete the Business Entity Information Form.

(Signature of Applicant)

- Sworn before me,
- Solemnly affirmed before me,

at _____

this _____

(Signature)

(Given name and name in block letters)

(Specify in which capacity this declaration is taken: as commissioner for oaths, justice of the peace, lawyer, notary, mayor, clerk or secretary-treasurer of a municipality.)

BUSINESS ENTITY INFORMATION FORM

1. Full Registered Name of Business Entity:

2. Legal Nature of Business Entity (eg. Corporation, Partnership, Limited Liability Company, etc.):

3. Laws under which Business Entity was established (Provide name of jurisdiction and cite relevant legislation):

Attach copies of constating documents of the Business Entity, eg. Charter, Letters Patent, Certificate of Incorporation. Also attach certified copies of internal management documents such as: By-laws, Operating Agreements, Partnership Agreements.

4. Date on which Business Entity was established: _____

5. List any other jurisdictions in which Business Entity is registered or seeking registration:

Provide date(s) of registration, registered address(es) and telephone number(s) for each jurisdiction.

6. Has the Business Entity ever applied for and been refused registration in any jurisdiction? If so, give details.

7. Registration Number(s) for jurisdiction(s) in which Business Entity is registered:

8. Address of Registered Office:

9. Address of principal place of business (if different from registered address):

10. Mailing Address (if different from registered address):

11. Telephone: _____

12. Fax: _____

13. E-mail: _____

14. URL of Home Page: _____

15. Name of Contact Person: _____

Address: _____

Telephone: _____

Cellular: _____

Fax: _____

E-mail: _____

16. Describe the primary objectives and business activities of the Business Entity:

17. On an attachment page, provide full details of all parent, holding, subsidiary and related business entities (attach flowchart) including details as to the nature of the relationships with the applicant Business Entity, the names of the key persons, directors, shareholders, partners and chief executive officers of the related business entities and the business conducted by each related business entity.

18. On an attachment page, list those business entities in which the business entities identified in paragraph 16, have a controlling or ownership interest in excess of ten (10%) per cent.

LITIGATION

19. Provide full details of any criminal, regulatory or civil actions taken by or against the Business Entity in the past ten (10) years, including name of the jurisdiction(s) in which action(s) were brought, court file number(s) and the outcome of each action:

20. Provide full details of any pending criminal, regulatory or civil litigation, by or against the Business Entity, including the name of the jurisdiction(s) in which the action(s) are proceeding and court file number(s):

ADDRESSES

21. Commencing with the current address and working backwards, list all addresses at which the Business Entity has been registered or has conducted business over the last ten (10) years.

PERSONNEL

22. On an attachment page, list the names, addresses (including the dates at each address) and places of birth for each key person, director, shareholder with more than 10% voting or ownership interest in the Business Entity, partner and chief executive officer of the Business Entity *as of the date on which this form is completed* and describe the nature of each person's interest and role in the Business Entity.
23. On an attachment page, list the names, addresses (including the dates at each address) and places of birth for each key person, director, shareholder with more than 10% voting or ownership interest in the Business Entity, partner and chief executive officer who have ceased to hold office or have an interest in the Business Entity during the last five years.
24. On an attachment page, list the names, addresses and telephone numbers of the Business Entity's auditors, both presently and over the last five years.
25. On an attachment page, list the names, addresses and telephone numbers of any legal advisors, solicitors and consultants engaged by the Business Entity over the last five years.

CONFIDENTIAL FINANCIAL DETAILS

26. Attach certified copies of the audited and, if applicable, published financial statements of the Business Entity for the last five (5) years.
27. Attach certified copies of the audited and, if applicable, published financial statements of any parent or holding business entity, for the last five (5) years.
28. Attach certified copies of the annual return or equivalent annual filing for all jurisdictions in which the Business Entity is registered, for the last five (5) years.
29. Furnish full details of any persons, companies or institutions from which the Business Entity has current

loans or has obtained funds on loan during the last five (5) years.

30. Advise if there has been any substantial change to the financial situation of the Business Entity since the last audited accounts. If so, provide full details.
31. Supply details (name, branch, and account number) of all bank accounts held or operated by the Business Entity.
32. Supply details of all investments of the Business Entity.
33. Confirm that if requested:
 - a) The Business Entity will produce certified copies of its income tax returns;
 - b) The Business Entity will produce copies of minutes of shareholder and directors meetings;
 - c) The Business Entity will produce any information relevant to the published financial statements; and
 - d) The Business Entity will authorize the release of any other information required by the Commission.

BUSINESS AFFILIATIONS

34. Provide full details of any other directorships, partnerships or other business interests or affiliations with which the key person, directors, shareholders with more than 10% voting or ownership interest in the Business Entity, partners and chief executive officers of the Business Entity are currently or previously associated.
35. Prior to this date has the Business Entity or any key person, director, shareholder, partner or chief executive officer of the Business Entity been associated with the ownership, administration or management of:
 - a) a casino; _____
 - b) Keno or lottery operations; _____
 - c) interactive gambling; _____
 - d) race wagering or sports wagering operations; _____
 - e) (v) the manufacture, assembling, selling, distributing, importing, supplying or repairing of gaming machines, in line machines, lucky envelope machines or other amusement devices?

(Insert either "Yes" or "No" in the spaces beside each item)

If so, please provide details on an attachment page.

36. Does the Business Entity have any interest, financial or otherwise, in any other business entity or with any person, or has the Business Entity ever provided any financial assistance or other support to any other business entity or person involved with the ownership, administration or management of:

- a) a casino; _____
- b) Keno or lottery operations; _____
- c) interactive gambling; _____
- d) race wagering or sports wagering operations; _____
- e) the manufacture, assembling, selling, distributing, importing, supplying or repairing of gaming machines, in line machines, lucky envelope machines or other amusement devices? ____

(Insert either "Yes" or "No" in the spaces beside each item)

If so, please provide details on an attachment page.

37. Has the Business Entity ever changed its name? _____

If so, provide full details.

38. Does the Business Entity have, or does it use, or has it previously used, any other business name? ____

If so, please provide full details.

