

**APPLICATION FOR A
KEY PERSON LICENCE**

This is Schedule "E" to the
Kahnawá:ke Gaming Commission *Regulations concerning Interactive Gaming*

All information provided by the applicant to the Commission will be held in the strictest confidence and will not be used by the Commission for any purpose other than matters pertaining to this application nor will the information be provided, in whole or in part, to any other party without the applicant's express written permission.

THIS APPLICATION FORM CONTAINS THE FOLLOWING DOCUMENTS. PLEASE ENSURE YOUR FORM IS COMPLETE.

- ✓ Instructions for completion.
- ✓ Application for a Key Person Licence.
- ✓ Accompanying documents and fees.
- ✓ Oath or Solemn Declaration of applicant.

INSTRUCTIONS FOR COMPLETION

1. This application must be completed by the proposed key person or a person to whom the key person has supplied all the information contained herein.
2. Type or print in BLOCK LETTERS an answer to every question.
3. All dates should be completed in the form: Day/Month/Year.
4. This form is to be completed in the English language. Any accompanying documents required to be provided are to have a certified English translation appended.
5. Failure to give complete and accurate information in this form may constitute an offence under the Commission's *Regulations concerning Interactive Gaming* and will be grounds for the Commission to refuse an application or suspend or revoke a Client Provider Authorisation that has been granted on the basis of the incomplete or inaccurate information.
6. The completed form should be forwarded to:

**Kahnawá:ke Gaming Commission
P.O. Box 1799
Mohawk Territory of Kahnawá:ke, Canada
J0L 1B0**
7. This application should be sealed in an envelope, with any accompanying documents, and marked "Private and Confidential".
8. Please enclose a money order or bank draft for the prescribed fee in the envelope or contact the Commission for wire instructions to forward the required fee directly to the Commission.
9. Any further enquiries should be directed to the Commission.

APPLICATION FOR A KEY PERSON LICENCE

Name of Applicant: _____

Mailing Address:

Telephone Number: _____

Fax Number: _____

E-mail: _____

Name of Employer:

Telephone Number of Employer: _____

Fax Number of Employer: _____

Email of Employer: _____

The applicant will perform the following functions for the Employer (check one):

- key managerial functions
 key operational functions

ACCOMPANYING DOCUMENTS AND FEES

This application must be accompanied by:

- (a) A duly completed Personal Information Form, in the form attached as Schedule "C" to these Regulations;
- (b) A letter from the proposed licence holder or authorised client provider addressed to the Commission confirming the existence or proposed existence of the key relationship, the type of key function the proposed key person will perform (as specified in section 62 of the Regulations) and a detailed description of the duties and functions the proposed key person will fulfill if this application is granted and the type of training the proposed key person has received that would qualify him or her to perform the proposed key person function;
- (c) A Key Person Licence application fee in the amount of Five Thousand (US\$5,000.00) US Dollars, which includes:
- (i) the estimated cost of conducting the Commission's due diligence regarding the applicant (non-refundable), and
 - (ii) the first annual licensing fee (refundable if an application is not granted).

¹ The term "Employer" is used in this form to mean the licence holder of authorised client provider with which the applicant proposes to have a key relationship - including a contractual, limited term and consulting relationship.

**OATH OR SOLEMN AFFIRMATION
BEFORE AN AUTHORISED PERSON**

I, _____ of _____
swear or solemnly affirm that:

1. I am the person identified in the Key Person Licence application to which this Oath or Solemn Affirmation is attached (the "application").
2. I have personally completed the application or have personally supplied all the information contained therein.
3. The information contained in this application, and the documents accompanying this application, is complete and accurate;
4. I understand that if any of the information in this application, or in the documents that accompany this application, is not complete or accurate, the Commission will not grant this application or, if the application has already been granted, may suspend or revoke the Key Person Licence that was granted as the result of this application;
5. I have read and understand the requirements of the Commission's *Regulations concerning Interactive Gaming* (available at www.kahnawake.com/gamingcommission);
6. I agree to abide by the provisions of the *Kahnawá:ke Gaming Law* and the *Regulations concerning Interactive Gaming*;
7. The *Kahnawá:ke Gaming Law* and the *Regulations concerning Interactive Gaming* will be the law governing my relationship with the Kahnawá:ke Gaming Commission;
8. I understand that the applicant must immediately notify the Commission in writing of any changes to the information in this application, failing which the Commission will not grant this application or, if the application has already been granted, may suspend or revoke the Key Person Licence that was granted as the result of this application.

(Signature of Applicant)

- () Sworn before me,
- () Solemnly affirmed before me,

at _____

this _____

(Signature)

(Given name and name in block letters)

(Specify in which capacity this declaration is taken:
as commissioner for oaths, justice of the peace, lawyer, notary,
mayor, clerk or secretary-treasurer of a municipality.)